

# PATENT

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0963-0270

Assistant Commissioner for Patents

Washington, D.C. 20231

**APPLICATION FOR REISSUE OF:**

☒ Utility Patent

- Design Patent

1. ☒ Fee Transmittal Form

2. ☒ Specification and Claims (amended, if appropriate)

3. ☐ Drawing(s) (proposed amendments, if appropriate)

4. ☒ Reissue Oath/Declaration (original copy)  
(37 C.F.R. § 1.175)

5. Original U.S. Patent  
☒ Offer to Surrender Original Patent (37 C.F.R. § 1.178)

or

- ☐ **Ribboned Original Patent**

- ☐ Affidavit/Declaration of Loss

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000

009020 ESTE960

6. Original U.S. Patent currently assigned?

☐ Yes ☒ No

(If Yes, check applicable box(es))

☐ Written Consent of all Assignees

☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney

7. ☐ Foreign Priority Claim (35 U.S.C. 119)

8. ☐ Information Disclosure Statement (IDS)/PTO 1449

☐ Copies of IDS Citations

9. ☐ English Translation of Reissue Oath/Declaration

10. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, status still proper and desired

11. ☐ Preliminary Amendment

12. ☐ Also enclosed is: \_\_\_\_\_

\_\_\_\_\_

If there are any fees due under 37 C.F.R. §§ 1.16 or 1.17 which are not enclosed herewith, including any fees required for an extension of time under 37 C.F.R. § 1.136, please charge such fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By: Walter J. Sutcliffe Reg. No. 24,914  
for Jeffrey A. Berkowitz  
Reg. No. 36,743

Dated: July 6, 2000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: )  
)  
David R. FRIED )  
)  
Original Patent No.: 6,035,286 ) Group Art Unit: 2764  
)  
Original Patent Issue Date: March 7, 2000 ) Examiner: Y. Retta  
)  
For: COMPUTERIZED SYSTEM AND )  
METHOD FOR CREATING A BUYBACK )  
STOCK INVESTMENT REPORT )

**REISSUE APPLICATION FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Claims as Filed - Part 1							
Claims in Patent	For	Number Filed In Reissue Application	(3) Number Extra	Small Entity		Other Than a Small Entity	
				Rate	Fee	Rate	Fee
(A)	Total Claims (37 CFR 1.16(j))	(B) 20	0 =	x\$ ____ =		or	x\$ ____ = 0
(C)	Independent Claims (37 CFR 1.16(i))	(D) 03	* =	x\$ ____ =			x\$ ____ = 0
Basic Fee (37 CFR 1.16(h))					\$690	OR	\$690
Total Filing Fee					\$ ____		\$690

Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other Than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	50	MINUS	20	30 =	x\$ ____ =		or	x\$18 = \$540
Independent Claims (37 CFR 1.16(i))	06	MINUS	03	03 =	x\$ ____ =			x\$78 = \$234

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Total Additional Fee	\$	OR	\$1,464
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- \* If the entry in (D) is less than the entry in (C), write "0" in column 3.
- \*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, write :20" in this space.
- \*\*\* After any cancellation of claims.
- \*\*\*\* If "A" is greater than 20, use (B-A); if "A" is 20 or less, use (B-20).
- \*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

If there are any fees due under 37 C.F.R. §§ 1.16 or 1.17 which are not enclosed herewith, including any fees required for an extension of time under 37 C.F.R. § 1.136, please charge such fees to our Deposit Account No. 06-0916.

Respectfully submitted,

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PATENT

Atty. Docket No.: 07027.0001-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue of  
U.S. Patent No. 6,035,286

Inventor: David R. FRIED

Issued: March 7, 2000

Serial No. 09/030,854

Filed: February 26, 1998

For: A COMPUTERIZED SYSTEM AND  
METHOD FOR CREATING A BUYBACK  
STOCK INVESTMENT REPORT

Group Art Unit: 2764

Examiner: Y. Retta

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**OFFER TO SURRENDER**

The undersigned Applicant of the accompanying application for the reissue of the above-referenced letters patent granted to him and on whose behalf and with whose assent the accompanying reissue application is made, hereby offers to surrender said letters patent.



David R. Fried  
Applicant

Date: 6/26/2000

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